



**For use by individual and joint applicants.** Please complete this form in **BLOCK CAPITALS** and return to: IFSL Hathaway, Marlborough House, 59 Chorley New Road, Bolton BL1 4QP, via email at [dealing@ifslfunds.com](mailto:dealing@ifslfunds.com), by facsimile on 01204 533045 or to your financial adviser.

**SECTION A - PERSONAL DETAILS - please complete this section in full as this is mandatory**

*If any holder has a residence outside of the UK, they must each submit a CRS-I form alongside this application form.*

Title	Surname	Forename(s)								
Permanent residential address										
		Postcode								
Nationality	Date of birth									
	D	D	M	M	Y	Y	Y	Y		
National insurance number	X	X	X	X	X	X	X	X	If you do not have a NI number please tick this box	<input type="checkbox"/>
Telephone number										
Email address										
Existing holder ID / account number (if known)										
Account Designation (if required - maximum 30 characters)										

**SECTION B - JOINT HOLDERS - if applicable an account may have up to a maximum of three additional holders**

*If any holder has a residence outside of the UK, they must each submit a CRS-I form alongside this application form.*

Title	Surname	Forename(s)								
Permanent residential address										
		Postcode								
Nationality	Date of birth									
	D	D	M	M	Y	Y	Y	Y		
National insurance number	X	X	X	X	X	X	X	X	If you do not have a NI number please tick this box	<input type="checkbox"/>
Telephone number										
Email address										

Title	Surname	Forename(s)								
Permanent residential address										
		Postcode								
Nationality	Date of birth									
	D	D	M	M	Y	Y	Y	Y		
National insurance number	X	X	X	X	X	X	X	X	If you do not have a NI number please tick this box	<input type="checkbox"/>
Telephone number										
Email address										

Title	Surname	Forename(s)								
Permanent residential address										
		Postcode								
Nationality	Date of birth									
	D	D	M	M	Y	Y	Y	Y		
National insurance number	X	X	X	X	X	X	X	X	If you do not have a NI number please tick this box	<input type="checkbox"/>
Telephone number										
Email address										

Investment Fund Services Limited (IFSL) is registered in England No. 06110770 (Authorised Fund Manager for the IFSL Hathaway Fund). Authorised and regulated by the Financial Conduct Authority.



## SECTION G - PRIVACY NOTICE

We're committed to processing the personal data that you provide to us in line with the latest data protection and data privacy legislation in force within the United Kingdom. We'll use your information in order to provide the service detailed in this Application Form and to administer your investments, communicate with you and provide information on our products and services when you request it, to meet our legal obligations and for the purposes of fraud prevention.

### Our full Privacy Notice

It's important that you understand how the personal information you give us will be used. We strongly advise that you read our full Privacy Notice, which you can find on our website at [www.ifslfunds.com](http://www.ifslfunds.com), or you can ask us for a copy.

### COMMUNICATING WITH YOU INCLUDING DIRECT MARKETING

We'd like to let you know about IFSL investment products and services we think would be of interest to you. However, we'll only contact you if you consent by ticking the boxes below.

### Your marketing preferences

I'm happy for IFSL to contact me in the following ways about investment products, services and promotional offers that may be of interest.

Please tick here: By post ☐ By phone ☐ By email ☐

You can withdraw your consent at any time by letting us know by post, phone or email. Please note we will continue to contact you with information relevant to the operation and maintenance of your account as required by law.

## SECTION H - DECLARATION - please ensure that you read and sign this section as this is mandatory

- I/We declare that I/We have read and understood the relevant Key Investor Information Document (KIID), the Supplementary Information Document (SID) and the IFSL Terms & Conditions and agree to be bound to these. I/We understand that these documents form part of the terms and conditions of my/our investment in the fund(s) and are available at [www.ifslfunds.com](http://www.ifslfunds.com)
- I acknowledge that the full prospectus of the relevant fund(s) (which includes the risk warnings relevant to the fund(s) along with details of minimum investment amounts of the fund(s) and the dealing time deadlines for processing of subscriptions), are available from IFSL and also at [www.ifslfunds.com](http://www.ifslfunds.com)
- To the best of my/our knowledge and belief, all statements made in this application form are true and correct and I/We shall inform the plan manager immediately of any changes herein
- I/We declare that I am/We are 18 years of age or over and I/We wish to buy units/shares as set out above at the buying price prevailing at the first valuation point following receipt of this completed application
- I/We declare that I am not/We are not US Person(s) (either a resident or citizen) and acknowledge that US Persons cannot hold units/shares in the fund(s)
- All subscriptions made and to be made belong to me/us
- I/We have read the IFSL Privacy Notice concerning the use of my/our personal data

### Holder 1

Client Name

Client Signature

Date

D | D | M | M | Y | Y | Y | Y

### Holder 2

Client Name

Client Signature

Date

D | D | M | M | Y | Y | Y | Y

### Holder 3

Client Name

Client Signature

Date

D | D | M | M | Y | Y | Y | Y

### Holder 4

Client Name

Client Signature

Date

D | D | M | M | Y | Y | Y | Y

## SECTION I - THE DIRECT DEBIT GUARANTEE - please retain for your own records

Banks and Building Societies may not accept Direct Debit Instructions for some types of account. This guarantee should be detached and retained by the payer.

- This Guarantee is offered by all banks and building societies that accept instructions to pay Direct Debits
- If there are any changes to the amount, date or frequency of your Direct Debit IFSL will notify you 10 working days in advance of your account being debited or as otherwise agreed. If you request IFSL to collect a payment, confirmation of the amount and date will be given to you at the time of the request

- If an error is made in the payment of your Direct Debit, by IFSL or your bank or building society, you are entitled to a full and immediate refund of the amount paid from your bank or building society

- If you receive a refund you are not entitled to, you must pay it back when IFSL asks you to
- You can cancel a Direct Debit at any time by simply contacting your bank or building society. Written confirmation may be required. Please also notify us.



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