

For use by individual and joint applicants. Please complete this application form in **BLOCK CAPITALS** and return to: Marlborough Fund Managers Ltd, Marlborough House, 59 Chorley New Road, Bolton BL1 4QP or to your financial adviser.

SECTION A - PERSONAL DETAILS - please complete this section in full as this is mandatory

If any holder has a residence outside of the UK, they must each submit a CRS-I form alongside this application form.

Title	Surname	Forename(s)
Permanent residential address		
		Postcode
Nationality	Date of birth D D M M Y Y Y Y	
National insurance number X X X X X X X X X	If you do not have a NI number please tick this box <input type="checkbox"/>	
Telephone number	Email address	
Existing holder ID / account number (if known)		
Account Designation (if required - maximum 30 characters)		

SECTION B - JOINT HOLDERS - if applicable an account may have up to a maximum of three additional holders

If any holder has a residence outside of the UK, they must each submit a CRS-I form alongside this application form.

Title	Surname	Forename(s)
Permanent residential address		
		Postcode
Nationality	Date of birth D D M M Y Y Y Y	
National insurance number X X X X X X X X X	If you do not have a NI number please tick this box <input type="checkbox"/>	
Telephone number	Email address	

Title	Surname	Forename(s)
Permanent residential address		
		Postcode
Nationality	Date of birth D D M M Y Y Y Y	
National insurance number	If you do not have a NI number please tick this box <input type="checkbox"/>	
Telephone number	Email address	

Title	Surname	Forename(s)
Permanent residential address		
		Postcode
Nationality	Date of birth D D M M Y Y Y Y	
National insurance number	If you do not have a NI number please tick this box <input type="checkbox"/>	
Telephone number	Email address	

SECTION C - FINANCIAL ADVISER'S DETAILS - to be completed by your financial adviser if applicable

Adviser's name/firm _____
 Adviser's email address _____
 FCA/FRN number _____
 Agency code (if known) _____

Adviser's firm stamp

Please tick all which apply
 Cancellation rights apply Discretionary business Advised business
 Execution only Portfolio management services

SECTION D - INCOME INSTRUCTION & BANK DETAILS - please tick only one option. This section is mandatory

When providing bank details please ensure these are accurate as we cannot accept any responsibility for payments made to incorrect account details which have been provided here.

REINVEST INCOME
 (accumulation shares will be used where available) **OR** **PAY INCOME TO MY BANK ACCOUNT**
 (see below)

Cheques cannot be sent for dividend payments, if bank details are not provided income will be reinvested.

Name of bank/building society _____
 Name of account holder _____
 Bank account number _____ Sort code _____
 Roll number / building society reference _____

SECTION E - INVESTMENT DETAILS - please provide details of your investment choices. This section is mandatory

Fund name	SEDOL/ISIN of Fund	Lump Sum Investment	Monthly Amount
1.			
2.			
3.			
4.			
5.			
TOTAL			

Lump Sum: Payment can be sent via bank transfer to the 'Marlborough Fund Managers - Client Account' Sort code 40-05-30 Account no. 74576837 and must include the main applicants full name as a reference. Alternatively payment can be made by cheque, up to a maximum amount of £100,000.00, made payable to Marlborough Fund Managers Ltd.
Monthly: Please ensure that Section F is fully completed to allow us to process your application.

SECTION F - MANDATE DETAILS - INSTRUCTION TO YOUR BANK OR BUILDING SOCIETY TO PAY BY DIRECT DEBIT

To: The Manager _____ Bank/building society _____
 Address _____
 _____ Postcode _____
 Name(s) of Account Holder(s) _____

 Bank/Building Society Account Number X | X | X | X | X | X | X | X | X | X
 Branch Sort Code X | X | - | X | X | - | X | X

Service User: Marlborough Fund Managers Ltd,
 Marlborough House, 59 Chorley New Road, Bolton BL1 4QP
Service User No: 9 | 4 | 2 | 9 | 3 | 9
Reference: (to be completed by Marlborough)



Instruction to your Bank or Building Society: Please pay Marlborough Fund Managers Direct Debits from the account detailed on this instruction subject to the safeguards assured by the Direct Debit Guarantee. I understand that this instruction may remain with Marlborough Fund Managers and if so, details will be passed electronically to my Bank/Building Society.

Print Name _____
 Signature _____ Date D | D | M | M | Y | Y | Y | Y

Please be aware that any bank details provided in this section will override any existing bank details that we may hold for you, unless otherwise stated.

We will write to you to confirm the date on which your Direct Debit will be collected.

SECTION G - PRIVACY NOTICE

We're committed to processing the personal data that you provide to us in line with the latest data protection and data privacy legislation in force within the United Kingdom. We'll use your information in order to provide the service detailed in this Application Form and to administer your investments, communicate with you and provide information on our products and services when you request it, to meet our legal obligations and for the purposes of fraud prevention.

Our full Privacy Notice

It's important that you understand how the personal information you give us will be used. We strongly advise that you read our full Privacy Notice, which you can find on our website at www.Marlboroughfunds.com, or you can ask us for a copy.

COMMUNICATING WITH YOU INCLUDING DIRECT MARKETING

We'd like to let you know about Marlborough investment products and services we think would be of interest to you. However, we'll only contact you if you consent by ticking the boxes below.

Your marketing preferences

I'm happy for Marlborough Fund Managers Ltd to contact me in the following ways about investment products, services and promotional offers that may be of interest.

Please tick here: **By post** **By phone** **By email**

You can withdraw your consent at any time by letting us know by post, phone or email. We'll continue to use your contact details for sending regular statements, changes to terms and conditions, account enquiries, and as required by law.

SECTION H - DECLARATION - please ensure that you read and sign this section as this is mandatory

- I/We declare that I/We have read and understood the relevant Key Investor Information Document (KIID), the Supplementary Information Document (SID) and the Marlborough Fund Managers Ltd Terms & Conditions and agree to be bound to these. I/We understand that these documents form part of the terms and conditions of my/our investment in the fund(s) and are available at www.marlboroughfunds.com
- I am/We are aware that the full prospectus, which includes the risk warnings relevant to the fund(s), is available from www.marlboroughfunds.com
- To the best of my/our knowledge and belief, all statements made in this application form are true and correct and I/We shall inform the plan manager immediately of any changes herein
- I/We declare that I am/We are 18 years of age or over and I/We wish to buy units/shares as set out above at the buying price prevailing at the first valuation point following receipt of this completed application
- I/We declare that I am not/We are not US Person(s) (either a resident or citizen) and acknowledge that US Persons cannot hold units/shares in the fund(s)
- All subscriptions made and to be made belong to me/us
- I/We have read the Marlborough Fund Managers Privacy Notice concerning the use of my/our personal data

HOLDER 1

Name _____

Client Signature _____ Date

D		D		M		M		Y		Y		Y		Y
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HOLDER 2

Name _____

Client Signature _____ Date

D		D		M		M		Y		Y		Y		Y
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HOLDER 3

Name _____

Client Signature _____ Date

D		D		M		M		Y		Y		Y		Y
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HOLDER 4

Name _____

Client Signature _____ Date

D		D		M		M		Y		Y		Y		Y
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SECTION I - THE DIRECT DEBIT GUARANTEE - please retain for your own records

Banks and Building Societies may not accept Direct Debit Instructions for some types of account. This guarantee should be detached and retained by the payer.

- This Guarantee is offered by all banks and building societies that accept instructions to pay Direct Debits
- If there are any changes to the amount, date or frequency of your Direct Debit Marlborough Fund Managers Ltd will notify you 3 working days in advance of your account being debited or as otherwise agreed. If you request Marlborough Fund Managers Ltd to collect a payment, confirmation of the amount and date will be given to you at the time of the request

- If an error is made in the payment of your Direct Debit, by Marlborough Fund Managers Ltd or your bank or building society, you are entitled to a full and immediate refund of the amount paid from your bank or building society

- If you receive a refund you are not entitled to, you must pay it back when Marlborough Fund Managers Ltd asks you to

- You can cancel a Direct Debit at any time by simply contacting your bank or building society. Written confirmation may be required. Please also notify us.

